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Approval for use through 10/31/2002. OMB 0651-0031
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TRANSMITTAL FORM <i>(to be used for all correspondence after initial filing)</i>	Application Number	09/819459
	Filing Date	Mar 28, 2001
	First Named Inventor	Ueno et al.
	Group Art Unit	2161
	Examiner Name	Unknown
Total Number of Pages in This Submission	Attorney Document Number	JP920000380US1

ENCLOSURES (check all that apply)

<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): 16 Foreign References JPO Office Action Acknowledgment postcard
Remarks		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Spencer K. Warnick - Reg. No. 40398 Hoffman, Warnick & D'Alessandro LLC
Signature	
Date	October 17, 2003

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, PO Box 1450, Alexandria, VA 22313-1450 on this date:			
			October 17, 2003
Typed or printed name	Jennifer Desbiens		
Signature		Date	October 17, 2003

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IBM Docket No.: JP920000380US1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of: Ueno et al.

: Date: October 17, 2003

Serial Number: 09/819459

: Confirmation No.: 3433

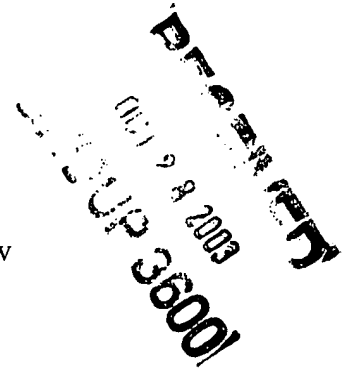
Filed: 3/28/2001

: Group Art Unit: 2161

Title: DATA TRANSFER SYSTEM
USING MOBILE TERMINAL AND
TWO-DIMENSIONAL BARCODE

: IBM CORPORATION
Intellectual Property Law
MD90/201-1
8501 IBM Drive
Charlotte, NC 28262

Examiner: Unknown



INFORMATION DISCLOSURE STATEMENT (IDS) UNDER 37 CFR 1.97

Commissioner for Patents
PO Box 1450
Alexandria, VA 22313-1450

Dear Sir:

The attached Form PTO-1449, and any attached foreign patent office search report, provides a listing of information which may be relevant to the subject application. This IDS is not intended as a representation that better art is not available, nor that the information provided is prior art.

This IDS is submitted under:

☐ 37 CFR 1.97(b) - No Fee.

☒ 37 CFR 1.97(c) - No Fee. Each item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application. This communication (enclosed) is dated September 9, 2003, which is not more than 3 months prior to the mailing of this statement.

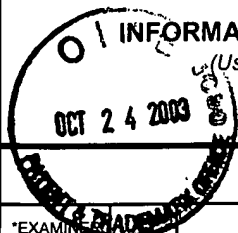
☐ 37 CFR 1.97(c) - Fee.

☐ 37 CFR 1.97(d) - Fee, Certification & Petition.

The Commissioner is authorized to charge payments of any associated fees required under 37 CFR 1.17(p) and (i) (1) to Deposit Account No. 09-0469 (IBM).

Respectfully submitted,

By: Spencer K. Warnick
Spencer K. Warnick
Registration No. 40,398
Telephone No.: (518) 449-0044



INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)

ATTY DOCKET NO.

JP920000380US1

SERIAL NO.

09/819,459

Ueno et al.

FILING

28 March 2001

GROUP

2161

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	6,000,832	12/1999	Franklin et al.			
	5,883,810	03/1999	Franklin et al.			
	5,789,732	08/1998	McMahon et al.			

FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
	2002-117313	04/2002	Japan				
	WO 02/08981	01/2002	WIPO				
	2002-024730	01/2002	Japan				
	WO 01/88792 A1	11/2001	WIPO				
	2001-202429	07/2001	Japan				

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OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER

DATE CONSIDERED

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

